

JC04 Rec'd PCT/PTO 17 MAY 2002

Customer No.	026418	
Attorney's Docket No.:	GK-BUE-103 / 500647.20004	
U.S. Application No.:	10/049,549	
International Application No.:	PCT/CH00/00317	
International Filing Date:	JUNE 09, 2000	09 JUNE 2000
Priority Date Claimed:	AUGUST 18, 1999	18 AUGUST 1999
Title of Invention:	PROCEDURE AND DEVICES FOR MANUFACTURING CRYSTALLIZABLE PLASTIC MATERIAL	
Applicant(s) for (DO/EO/US):	Camille BORER; Martin MUELLER and Frank GLOECKNER	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐ 5. A copy of **Publication No. WO 01/12698 published 22FEB01** ~~the International Application as filed~~ [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☐ 6. A translation of **Publication No. WO 01/12698 published 22FEB01** ~~the International Application~~ into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- ☒ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)] (Amended sheets and claims 1 - 8)

Items 11. to 16. Below concern other document(s) or information included:

- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ 13. ☐ A **FIRST** preliminary amendment
- ☐ ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ 14. A substitute specification
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **371 Formalities Letter dated April 23, 2002** (Confirmation No. 7567)

EXPRESS MAIL No.: EV 049 322 394 US

Deposited: May 17, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.


/Ruth Montalvo Date: May 17, 2002

CALCULATIONS

PTO USE ONLY

[] 17. The following fees are submitted:**BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]**

- | | | | |
|--------------------------|---|----|----------|
| <input type="checkbox"/> | Search Report has been prepared by the EPO or JPO..... | \$ | 890.00 |
| <input type="checkbox"/> | International preliminary examination fee paid to USPTO [37 CFR 1.482]..... | \$ | 710.00 |
| <input type="checkbox"/> | No International preliminary examination fee paid to USPTO [37 CFR 1.482]
but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... | \$ | 740.00 |
| <input type="checkbox"/> | Neither International preliminary examination fee [37 CFR 1.482] nor
International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... | \$ | 1,040.00 |
| <input type="checkbox"/> | International preliminary examination fee paid to USPTO [37 CFR 1.482]
and all claims satisfied provisions of PCT Article 33(1)-(4)..... | \$ | 100.00 |

ENTER APPROPRIATE BASIC FEE AMOUNT:

Claims

Number
FiledNumber
Extra

Rate

Total Claims

-20

x \$ 18. =

Indep. Claims

-03

x \$ 84. =

☐ Multiple Dependent Claim(s) (if applicable) + \$ 280. =**TOTAL OF ABOVE CALCULATIONS:**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the
earliest claimed priority date [37 CFR 1.492(e)]**\$130.00****TOTAL OF ABOVE CALCULATIONS:****\$130.00**

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity

SUBTOTAL:**\$130.00**Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months from
the earliest claimed priority date [37 CFR 1.492(f)]**TOTAL NATIONAL FEE:****\$130.00**Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied
by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +**TOTAL FEE(S):****\$130.00**AMOUNTS TO BE
REFUNDED OR CHARGED**REFUNDED
CHARGED**\$
\$~~(Please note the filing fee is based on the amended claims in PCT/PEA/409 Amendment pages)~~

- ☒ Check in the amount of **\$ 130.00** to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge
any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)
or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:**Gerald h. Kiel, Esq.****(Customer No. 026418)**

Reed Smith LLP

375 Park Avenue

New York, NY 10152

Gerald H. Kiel

Name (Tel. (212) 521-5400)

Signature

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Reg. No.

May 17, 2002

Date

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